Credential Asset Management

Dealer/Representative Change

(One Fund Company per Form)

This form is to be used when changing the Dealer and Representative code on a client name account.

Investor Information

Investor Name

Social Insurance Number

Univeris Client ID

Joint Investor Name

Social Insurance Number

Account Type

Non-Registered

- ☐ Individual
- ☐ Corporation
- ☐ Formal Trust
- ☐ Estate
- ☐ Joint with Right of Survivorship
- ☐ In Trust For ________________
- ☐ Other ________________

Registered

- ☐ Individual RSP
- ☐ Individual RIF
- ☐ LIRA/LRSP
- ☐ RESP
- ☐ Spousal/Common-Law Partner RSP
- ☐ Spousal/Common-Law Partner RIF
- ☐ LIF/LRIF
- ☐ PRIF

Dealer and Representative Change (Client Name Plans Only)

Note: Client Name to Nominee or Nominee to Nominee Transfers must be facilitated by way of the Transfer Authorization form.

Mutual Fund Company

Management Account #

Please modify the above stated account to reflect the following Dealer and Representative Code:

9962

Dealer

Representative Code

Representative Name

Existing **PAC / SWP / DWP** is to continue (check one): ☐ **Yes** ☐ **No** ☐ **Not Applicable**

Investor Authorization

Investor Signature

Joint Investor Signature

Date (mm/dd/yyyy)

Representative Signature
(*By signing here, you confirm that you have verified the Investor's signature*)

Representative Email

Rep Phone

Contact Information

Contact (if different from Representative)

Email

Contact Phone

Comments:

For Internal Use Only

Processing Instructions

Please attach the investor's most recent Fund Company Client Statement

Fax this Document to the Fund Company

For additional information please refer to the Dealer 9962 Administration Manual